



**Investment and Securities Firms  
Contact Persons and SAU Account Information  
Processing Gifts of Securities**

The following information is provided to donors who wish to contribute gifts of securities through their brokers. St. Ambrose University has accounts with the following firms, and this information is to facilitate the transfer of securities through investment houses.

**St. Ambrose University federal tax identification number: 42-0703280**

Brokerage Name	SAU Account #	Account Executive	Address & Phone	DTC #
Edward Jones ( <b>preferred broker</b> )	529-06441	Daniel Timmons Asst: Sue or Kathleen	5515 Jersey Ridge Rd Davenport, IA 52807 563-441-5655	0057
Robert W. Baird & Co. Inc	7959-1533	Joe Verdi Asst: Connie	3626 Bridge Ave. Davenport, IA 52807 563-386-4600	0547
RBC Wealth Management (formerly Dain Rauscher)	308-21715	Kelly Auliff Asst: Paulette	4301 E. 53rd St., Suite 301 Davenport, IA 52807 563-441-3904 Fax: 563-441-3950	0235
Beyer & Rock Investments	7492-3982	Michael Matheson	2322 E. Kimberly Rd. Davenport, IA 52807 563-355-7754	0727
Morgan Stanley Smith Barney	345-010681-039	Rob Arth Phyllis Gau James Evans	4300 E 53 <sup>rd</sup> St Davenport, IA 52807 344-4300 or 800-553-1871 Fax 344-4356	0015

**WIRE TRANSFERS:**

Financial Institution: U.S. Bank  
Account Name: St. Ambrose University  
Account Number: 196455971503  
ABA Number: 073000545

**Please note:** When you are prepared to request a stock transfer, please notify us of your pending gift. Contact Sally Crino, at [CrinoSallyE@sau.edu](mailto:CrinoSallyE@sau.edu) or call (563) 333-6080, and share your name, your broker, the name of the stock, and the designation of your gift. This allows for an efficient and prompt stock transfer process and acknowledgement of your gift.